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Original Article

# **Six-minute step test for overweight and obese subjects: concurrent validity, intrarater reliability and prediction equation**

**Teste de degrau de seis minutos no sobrepeso e obesidade: validação, confiabilidade e equação de predição**

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#### **ABSTRACT**

**Objective:** To evaluate the construct validation and intra-rater reproducibility of the 6-minute step test (6MST) and develop a prediction equation for the step test performance in overweight and obese individuals.

**Methods:** A total of 35 individuals were analyzed and divided into two groups: obese/overweight and control group. The 6MST and the 6-minute walk test (6MWT) were performed on different days. An isokinetic dynamometer was used to assess the lower limb muscle strength.

**Results:** A moderate positive correlation between the 6MST and 6MWT performances was found in the obese/overweight group (r = 0.501; p = 0.01). Reproducibility in the obese/overweight group was excellent for both performance and cardiovascular variables (intraclass correlation coefficient (ICC)>0.8; p<0.000), with the exception of the diastolic blood pressure (DBP) immediately after, which showed very good reproducibility (ICC) = 0.79; p<0.000). The following prediction equation was developed in the obese/overweight group: number of steps climbed = 85.847 + 0.482 x (peak knee extension torque).

**Conclusion:** The 6MST is a valid, reproducible and viable alternative to assess functional exercise capacity in obese and overweight young people. The results showed that the lower limb muscle strength can predict performance on the 6MST.

**Key-words:** Validation study, Reproducibility of results, Obesity, Exercise test, Muscle strength.

#### **RESUMO**

**Objetivo:** Avaliar a validação do constructo e a reprodutibilidade intra-avaliador do teste de degrau de 6 minutos (TD6M) e desenvolver uma equação de predição para o desempenho no teste de degrau em indivíduos com sobrepeso e obesidade.

**Metodos:** Foram analisados 35 indivíduos, divididos em dois grupos: obesidade/sobrepeso e grupo controle. O TD6M e o teste de caminhada de 6 minutos (TC6M) foram feitos em dias distintos. Para avaliar a força muscular de membros inferiores foi utilizado o dinamômetro isocinético.

**Resultados:** Uma correlação positiva moderada entre os desempenhos no TD6M e no TC6M foi encontrada no grupo obesidade/sobrepeso (r=0,501; p=0,01). A reprodutibilidade no grupo obesidade/sobrepeso foi excelente tanto para o desempenho quanto para as variáveis cardiovasculares (coeficiente de correlação intraclasse (CCI) > 0,8; p<0,000), com exceção da PAD imediatamente após, que apresentou reprodutibilidade muito boa (CCI = 0,79; p < 0,000). No grupo obesidade/sobrepeso foi desenvolvida a seguinte equação de predição: número de subidas no degrau = 85,847 + 0,482 x (pico de torque de extensão do joelho).

**Conclusão:** O TD6M é válido, reprodutível e uma alternativa viável para avaliar a capacidade funcional de exercício em jovens obesos e com sobrepeso. Os resultados mostraram que a força muscular dos membros inferiores é capaz de prever o desempenho no TD6M.

**Palavras-chave:** Estudo de validação, Reprodutibilidade dos testes, Obesidade, Teste de esforço, Força muscular.

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### **Introduction**

Obesity can promote respiratory muscle inefficiency and reduce respiratory muscle strength and endurance. These factors lead to inspiratory overload, increased respiratory work, oxygen consumption, energy cost in breathing and consequently reduced functional exercise capacity (FEC) in these individuals [1].

In addition to compromising the respiratory system, the low oxidative capacity of obese skeletal muscle is also pointed to as being responsible for intolerance to physical exercise in obese patients. The large number of type II fast glycolytic muscle fibers and a low amount of type I oxidative muscle fibers in the obese cause peripheral muscle weakness and impair FEC [2,3]. The presence of associated comorbidities such as cardiovascular disease, coronary disease, cerebral stroke, type 2 diabetes mellitus and hypertension can also contribute to exercise intolerance in obesity [4-6].

Although FEC is not routinely assessed in clinical practice, it is an important marker of cardiovascular health [7]. Regarding FEC assessment methods, field tests offer effective results, in addition to having a lower cost. The six-minute step test (6MST) is a submaximal intensity field test, is better tolerated by patients and has easy adaptation and portability [8]. Although the 6MST has already been validated in other populations [8-10], validation for obese and overweight individuals has not yet been studied.

Thus, the objective of this study was to evaluate the construct validation and intra-rater reproducibility of the 6MST to determine FEC in overweight and obese individuals, in addition to developing a prediction equation for performance in the 6MST.

### **Methods**

### *Sample*

This is an observational, prospective and cross-sectional study. The population consisted of 35 individuals divided into two groups: OWOG group (overweight and obese group) and CG (control group: composed of eutrophic individuals).

The study included individuals between 18 and 45 years old of both genders. The control group included subjects with a body mass index (BMI) between 18.5-24.9 kg/m2 and in the overweight/obese group BMI of the volunteers was between 25- 39.9 kg/m2 . Individuals with comorbidities such as uncontrolled hypertension and diabetes, orthopedic and neurological changes, respiratory disorders such as COPD or asthma, or any cardiovascular or respiratory disease which prevented testing were excluded. The volunteers were informed about all procedures which would be performed and signed the free and informed consent form (ICF). The study was approved by the ethics and research committee of the Federal University of Pernambuco (no: 050244/2018).

### *Initial evaluation*

The volunteers went through anamnesis, anthropometric assessment and answered the international physical activity questionnaire (IPAQ) - short version [11] to evaluate their physical activity level.

### *Isokinetic evaluation of muscle strength*

An isokinetic evaluation of lower limb muscle strength was performed using a Humac Norm isokinetic dynamometer (HUMAC NORM 2009 System, Boston, USA). Peak torque of the quadriceps femoris and hamstrings in the concentric phase of each lower limb were measured as parameters to determine lower limb strength. The equipment was periodically calibrated according to the manufacturer's recommendations. The participants sat in an upright position in a chair with the backrest at 90º, and their torso and thigh of the evaluated limb was stabilized by straps to minimize compensatory movements. The lateral femoral condyle was used as an anatomical point to align the rotation axis of the knee with the rotation axis of the dynamometer. The participants performed two sets of 5 knee extension and flexion repetitions with each limb at an angular speed of 60°/s, always starting with the dominant limb. The peak torque was calculated by the force multiplied by the perpendicular distance.

### *Assessment tests of functional exercise capacity*

The tests were carried out on two different days with a minimum of two and a maximum of seven days between them. Individuals underwent a test-retest of the 6MST or the 6MWT on the first day of evaluation according to randomization (website randomization.com), and the other test-retest was performed on the second day. There was a minimum interval of 30 minutes between the test and the retest on both days.

The tests were performed in the presence of two evaluators. An evaluator monitored heart rate (HR) using a frequency meter (Polar® RS800CX ProTrainer), blood pressure (BP) and lower limb fatigue symptoms (lower limbs) using the modified Borg scale [12]. The other evaluator monitored the distance walked (6MWT) or the number of steps climbed (6MST). Cardiovascular variables were analyzed at rest, immediately after the test and in the first and fifth minutes of recovery in both tests. According to the American Thoracic Society (ATS) Guidelines for the 6MWT [13], standard incentive phrases were used every minute during the test.

The 6-Minute Walk Test (6MWT): performed on a flat corridor 30 meters long and the ends were demarcated by signal cones. The volunteers were instructed to walk as far as possible without running and at a pace they could maintain during the six minutes recommended by the test and standard incentive phrases were used every minute, following the recommendations of the ATS Guidelines [13].

The 6-Minute Step Test (6MST): performed with a 20cm high, 80cm long and 40cm wide step made of wood with a non-slip surface. The volunteers were instructed to go up and down the step maintaining a pace which would allow them to ascend and descend as many steps as possible for six minutes. The lower limbs could be intercalated in the ascents, and the upper limbs should remain stationary at their sides [8]. The same standard incentive phrases were used every minute, following the recommendations of the ATS Guidelines [13].

### *Data analysis*

Statistical procedures were performed using the Statistical Package for the Social Sciences (SPSS) version 20.0 using descriptive and inferential statistical techniques. A significance level of 95% (p<0.05) was assigned in the statistical analysis of the results. Data normality was verified by the Kolmogorov-Smirnov test. The paired Student's t-test was used to compare the test and the retest, and the unpaired Student's t-test was used to assess the intergroup difference between the analyzed variables. The data were expressed as means and standard deviations.

Construct validation was used to test hypotheses through the Pearson's correlation coefficient to validate the 6MST. Construct validity is the degree to which evidence about a measure's scores supports the inference that the construct has been adequately represented. The gold standard is not necessarily used in construct validation; another test which has already been validated by the gold standard [14] which was used in the present study is the 6MWT.

The intraclass correlation coefficient (ICC) with the 95% confidence interval was used in evaluating intra-rater reproducibility, in which the classification of values according to interpretation criteria by Weir [15] was adopted as: poor reliability (ICC < 0.2), reasonable reliability (ICC > 0.21 and < 0.4), good reliability (ICC > 0.41 and < 0.6), very good reliability (ICC > 0.61 and < 0.8) and excellent reliability (ICC > 0.81). The Bland-Altman method was used to assess the agreement between the 6MST test and retest. Simple linear regression analysis was performed between the 6MST performance and peak knee extension torque to develop the prediction equation.

# **Results**

The volunteer selection, allocation and follow-up protocol is shown in the flowchart below (Figure 1). A total of 35 adult individuals were analyzed with 14 men and 21 women, allocated as  $(n = 23, men = 11, women = 12)$  in the OWOG and  $(n = 12,$ men =  $3$ , women =  $9$ ) in the CG.



**Figure 1 -** Flow diagram of patient recruitment and progress.

 $\sigma(t) = 25$  **CG (n = 12)** OWOG (n = 22) **Sex** Male n (%) 14 (40%) 3 (25%) 11 (47.8%) Female n (%) 21 (60%) 9 (75%) 12 (52.2%) **Age (years)** 25.3 ± 5.6 24.4 ± 4.9 25.8 ± 6.0 **Weight (kg)** 78.3 ± 16.9 61.8 ± 9.1 86.8 ± 13.4 **Height (m)** 1.66 ± 0.08 1.64 ± 0.08 1.67 ± 0.07 **BMI (kg/m2 )**  $28.2 \pm 5.2$   $22.7 \pm 2.1$   $31.0 \pm 3.9$ **Nutritional Status, n (%)** Total (n=35) Total (n=12) Total (n=23) Eutrophic 12 (34.3%) 12 (100%) 0 (0%) Overweight 11 (31.4%) 0 (0%) 11 (47.8%) Obese 12 (34.3%) 0 (0%) 12 (52.2%) **Fitness Level – n (%)** Total (n= 35) Total (n=12) Total (n=23) Very active 9 (25.7%) 3 (25%) 6 (26.1%) Active 12 (34.3%) 5 (41.7%) 7 (30.4%) Insufficiently active 11 (31.4%) 3 (25%) 8 (34.8%) Sedentary 3 (8.6%) 1 (8.3%) 2 (8.7%) **Lower limbs muscle strength** Total (n= 35) Total (n=12) Total (n=23) PText. Knee  $(N.m)$  175.0 ± 55.7 156.6 ± 56.0 184.5 ± 54.3 PTflex. Knee (N.m)  $82.2 \pm 27.7$   $71.8 \pm 27.8$   $87.7 \pm 26.7$ 

The general characteristics of the sample are described in Table I.



**Table I -** Characteristics of the sample.

Values expressed as mean  $\pm$  standard deviation and percentage. Difference (\* p <0.05); BMI: body mass index; PText. Joelho = peak knee extension torque; PTflex. Joelho = the peak knee flexion torque; \* Unpaired t test.

In the groups OWOG and CG, no difference was observed in the test-retest performance of the 6MWT (Table II, CG,  $p = 0.74$ ; OWOG,  $p = 0.38$ ) and of the 6MST (Table II, CG,  $p = 0.27$ ; OWOG,  $p = 0.16$ ). No difference in the comparison between the OWOG and CG groups regarding the performance of the 6MST (Table II, p = 0.84) or of the 6MWT (Table II,  $p = 0.31$ ).

### **Table II -** Performance in the 6MWT and 6MST test-retest.



 $CG = control group$ ;  $OWOG = overweight/obese group$ ;  $6MWT-1 = first 6-minute walk test$ ;  $6MWT-2$  $=$  second 6-minute walk test;  $6MWT-B =$  best 6-minute walk test;  $6MWT-1 =$  first 6-minute walk test; 6MWT-2 = second 6-minute walk test; 6MWT-B = best 6-minute walk test. Values expressed as mean ± standard deviation. p <0.05); &paired t test: Test 1 vs Test 2. ¥ unpaired t test: CG vs OWOG; \*p<0.05.



 $6MWT = 6$ -minute walk test;  $6MST = 6$ -minute step test **Figure 2 -** Pearson's correlation coefficient for performance between the 6MWT and the TD6M for the control group (Figure 2A: r= 0.088 p=0.7) and the obese/overweight group (Figure 2B: r= 0.501 p=0.01).

In the construct validation analysis, no correlation was identified between the 6MST or the 6MWT for the CG group (Figure 2A;  $r = 0.088$ ;  $p = 0.78$ ). A moderate positive correlation was observed between the 6MST and 6MWT performances in the OWOG group (Figure 2B;  $r = 0.501$ ;  $p = 0.01$ ).

In Table III, the OWOG showed excellent reproducibility regarding performance on the 6MST (ICC = 0.95; CI = 95%; 0.90-0.98; p<0.000) and the behavior of the following cardiovascular variables: SBP immediately after (ICC = 0.82; CI = 95%; 0.63- 0.92; p<0.000), HR immediately after (ICC = 0.93; CI = 95%; 0.78-0.97; p<0.000), HRmax (ICC = 0.93; CI = 95%; 0.76-0.97; p<0.000) and lower limb fatigue (ICC = 0.84; CI = 95%; 0.64-0.93; p < 0.000). DBP immediately afterwards showed very good reproducibility  $(ICC = 0.79; CI = 95\%; 0.58-0.90; p<0.000).$ 

All variables showed excellent reproducibility in the CG: performance on the 6MST (ICC = 0.86; CI = 95%; 0.59-0.95; p < 0.000), SBP immediately after (ICC = 0.93; CI = 95%; 0.78-0.93; p < 0.000), DBP immediately after (ICC = 0.92; CI = 95%; 0.75-0.97; p < 0.000), HRmax (ICC = 0.82; CI = 95%; 0.50-0.94; p<0.000), HR immediately after (ICC = 0.81; CI = 95%; 0.48-0.94;  $p < 0.000$ ) and lower limb fatigue (CCI = 0.82; CI = 95%; 0.50-0.94;  $p < 0.000$ ).

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**Table III -** Reliability of the 6-minute step test in the control and overweight/obese groups.

CG = control group; OWOG = overweight/obese group; ICC = intraclass correlation coefficient; 95%CI = 95% confidence interval.; SBP = systolic blood pressure; DBP = diastolic blood pressure; HR<sub>Max</sub> = maximum heart rate; HR = heart rate; LLMF = lower limb muscle fatigue.





**Figure 3 -** Bland-Altman graph. Concordance between the test and retest in the 6MST for the control group (Figure 3A, Bias=-4.33) and the overweight/obese group (Figure 3B, Bias=-3.22).

We observed good agreement on the 6MST test and retest for both the CG (Figure 3A; mean error: -4.33) and for the OWOG (Figure 3B; mean error: -3.22) through the Bland-Altman method.

In Table IV, the OWOG group demonstrated a moderate correlation between performance on the 6MST and HRmax ( $r = 0.705$ ;  $p = 0.00$ ), HR in the sixth minute of the test ( $r = 0.654$ ;  $p = 0.00$ ), peak knee extension torque ( $r = 0.692$ ;  $p = 0.00$ ), SBP immediately after the test ( $r = 0.617$ ;  $p = 0.00$ ) and DBP immediately after the test ( $r = 0.666$ ; p = 0.00). A weak correlation was also identified between the 6MST performance and peak knee flexion torque ( $r = 0.417$ ;  $p = 0.04$ ) and systolic blood pressure recovery ( $r =$ 0.441;  $p = 0.03$ ). The CG did not show a correlation between performance on the 6MST and the other variables.

**Table IV -** Correlation between the performance and the anthropometric and physiological variables in the 6MST for control and overweight /obese group.



6MST: six-minute step test; BMI: body mass index; CG: control group; DBP: diastolic blood pressure; HR: heart rate; HRMax: maximum heart rate; LLMF: lower limb muscle fatigue; OWOG: overweight/ obese group; PText. Joelho: peak knee extension torque; PTflex. Joelho: the peak knee flexion torque; SBP: systolic blood pressure.



#### 6MST = 6-minute step test.

**Figure 4 -** Linear regression between the peak knee extension torque and the performance on the 6MST  $[F(1,21) = 19.278, p<0.001; R<sup>2</sup> = 0.479].$ 

Simple linear regression showed that the peak knee extension torque predicts the number of steps climbed on the 6MST [F(1,21) = 19.278, p<0.001; R<sup>2</sup> = 0.479] (Figure 4). The prediction equation is: performance on the 6MST [(number of steps completed on the step) =  $85.847 + 0.482$  x (peak knee extension torque)].

### **Discussion**

The 6MST proved to be valid and reproducible in the overweight and obese group. In addition, linear regression found that peak knee extension torque predicts performance on the six-minute step test in obese and overweight individuals.

No differences were identified regarding performance on the 6MWT and 6MST in either of the groups comparing the test and the retest, indicating that there was no learning effect. It is necessary to apply two tests when the learning effect is present; the first is for familiarization and the second to provide a clinically reliable result [13]. The American Thoracic Society (ATS) [13] suggests that the test should be carried out with verbal incentives to the patient, implying better results. Encouraging words were standardized in performing the tests in the present study, which may have minimized the learning effect. Our results corroborate data from the studies by Arcuri *et al.* [8], Davi *et al.* [16], Costa *et al.* [17], and Magalhães *et al.* [18], who also did not identify a learning effect in applying the 6MST.

The 6MST is a submaximal test which is easy to apply and capable of evaluating FEC in other populations [8-10,18]. Although the 6MWT also has submaximal intensity, the 6MST causes greater cardiovascular stress compared to the 6MWT, as walking is a common daily activity which requires less oxygen consumption and effort. On the other hand, the 6MST requires greater body displacement against gravity, increasing the exercise difficulty. Submaximal stress tests are used in clinical practice and enable limitations related to maximum intensity tests to be overcome. Submaximal tests are also able to predict VO<sub>2max</sub> for one or more work rates by heart rate (HR) response [19]. The high cost and complexity of performing Cardiopulmonary Exercise Testing (CPET) make it difficult to use in the clinical and academic environment [20].

The 6MST proved to be valid for assessing FEC in overweight and obese individuals in the present study. We used the 6MWT as a parameter to assess the construct validity of the 6MST. The 6MWT is a test which has already been validated for obese individuals and has good correlation with the CPET [14,21]. Other studies have used the 6MWT to validate the construct in other populations [8-10,18]. Obese individuals generally have cardiovascular comorbidities, thus restricting their performance in CPET, as it is a maximum effort test which uses an external cadence imposing a rhythm on the individual. The 6MST validation for obese subjects is a submaximal free cadence test and therefore presents a safer option, as the individual can determine their own pace within their physiological limitations. The 6MST is a simple test and can be performed in any environment, as it only uses one step for its execution [22]. It is also easy to control and monitor the patient, proving to be highly effective to evaluate the FEC in this population.

Individuals in the overweight and obese group showed excellent reproducibility regarding performance and most cardiovascular variables. Other studies evaluating the reproducibility of the 6MST have been carried out involving other populations: healthy individuals [8,16], patients with chronic obstructive pulmonary disease (COPD) [9,17], patients with COPD undergoing outpatient pulmonary rehabilitation [10], and patients with obstructive sleep apnea treated with continuous positive pressure in the pathways (CPAP) [18]. The reproducibility of the 6MST in all these studies proved to be excellent or very good for performance and for physiological variables. Reliability in the present study was further enhanced by the Bland-Altman method, showing good agreement between the tests for the control and overweight and obese groups.

Simple linear regression analysis in the present study showed that peak knee extension torque predicts performance on the 6MST. An increase in body fat is associated with functional restrictions on muscle performance due to impaired mobility and muscle strength [21]. Obesity may also be related to sarcopenia, which not only refers to a reduction of fat-free mass, but also to a loss of muscle strength and function. As a result, there is a decline in functional capacity and difficulties related to walking or the ability to ascend stairs. The decrease in muscle strength in the obese can be attributed to reduced mobility, neural adaptations and changes in muscle morphology [23].

Our study has some limitations. A larger number of participants would have enabled using multiple regression analysis and more predictor variables. In addition, CPET is the gold standard method for determining FEC, but was not used to validate the 6MST. However, this would characterize another type of validation, namely the validation of concurrent criteria. Thus, construct validation was chosen using the 6MWT as reference because it is a test which has good correlation with CPET.

### **Conclusion**

The 6MST proved to be valid, reliable and highly reproducible for assessing functional exercise capacity in overweight and obese individuals. It was also identified that lower limb muscle strength can predict performance on the 6MST in this population.

In addition, the 6MST is a submaximal test, which makes it more viable since weight gain is often associated with cardiovascular comorbidities, which can also limit maximum effort performance. The 6MST is also easy to apply, portable and has a low cost to determine FEC in overweight and obese individuals, thus facilitating its use on a large scale in clinical practice in both public and private healthcare services.

### **Potential conflict of interest**

No conflicts of interest with potential potential for this article have been reported.

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### **Academic affiliation**

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### **Authors' contributions**

**Study conception and design:** Pereira TNN, Lima AMJ. **Acquisition of data:** Pereira TNN, Monte JCA, Araújo LAS. **Analysis and interpretation of data:** Pereira TNN, Lima AMJ. **Statistical analysis:** Pereira TNN. **Obtaining funding for the study:** Soares AF. **Drafting of manuscript:** Pereira TNN, Lima AMJ. **Critical revision of the manuscript for important intellectual content:** Vasconcelos CR, Soares AF.

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